

Appl. No.: 10/660,805
Filing Date: September 11, 2003

1520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPL. NO. : 10/660,805
APPLICANT : Dallas Clouatre, et al.
FILED : September 11, 2003
TITLE : Enteric Delivery of (-)-Hydroxycitric Acid
ART UNIT : 1615
EXAMINER : Not Yet Assigned
DOCKET NO. : 71286.010810

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed therein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an enveloped addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this 3rd day of June, 2004.

Anne Marie Keefe

Anne-Marie Keefe

STATUS INQUIRY

Dear Sir or Madam:

1. More than 6 months have passed since



NEW APPLICATIONS

the filing of this application on September 11, 2003

No Communication has been received from the Patent and Trademark Office indicating action on this application.



AMENDED APPLICATIONS

the filing of a response on _____.

No Communication has been received from the Patent and Trademark Office indicating action on this application.

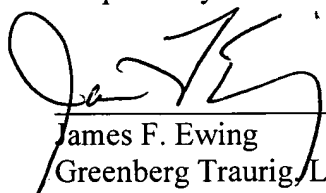
☐ ALLOWED APPLICATIONS
the mailing of FORM PTOL-327 and/or Examiner's Amendment on
_____.

No Communication has been received from the Patent and Trademark
Office indicating action on this application.

2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on this page. A stamped returned-address envelope is provided.

Date: June 3, 2004
Reg. No.: 52,875
Telephone No.: (617) 310-6229

Respectfully submitted,



James F. Ewing
Greenberg Traurig, LLP
One International Place
Boston, MA 02110

STATUS INQUIRY REPLY

Application Serial No. _____ is currently

- ☐ Assigned to Group _____ and awaits:
☐ Action by the Examiner.
☐ Applicant's Response to the Office Action Mailed _____.



Attorney Docket No: 71286-010810

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER: 10/660,805
FILING DATE: September 11, 2003
FIRST NAMED INVENTOR: Clouatre
EXAMINER NAME: Not yet assigned ART UNIT: 1615
FOR: ENTERIC DELIVERY OF (-)-HYDROXYCITRIC ACID

**REVOCATION AND NEW POWER OF ATTORNEY
FOR PATENT APPLICATION**

With regards to the United States utility patent application, the specification of which was filed on **September 11, 2003** having United States Serial No.: **10/660,805**;

I am the:

☐ Applicant/inventor; or

☒ Assignee of record of the entire interest. In accordance with 37 C.F.R 3.73(b),

☐ I certify that to the best of my knowledge the assignment was recorded with the United States Patent and Trademark Office at Reel: _____ and Frame no.: _____; or

☒ for which a copy is attached.

I hereby revoke all previous powers of attorney given in the above captioned case.

I hereby appoint the attorneys and/or agents associated with Greenberg Traurig, LLP, customer number:

35893

to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all telephone calls to Michel Morency, Ph.D. at telephone number 617-310-6000 and, address all correspondence to customer number:

35893

Signature:

Print Name:

Name of Applicant/Assignee:

Date:

#141351v1

Dallas L Clouatre, Mgr.

DALLAS L CLOUATRE

Glykon Technologies Group, LLC

05/20/04



Confirmation Report-Memory Send

Time : Jan-29-2004 03:58pm
Tel line 1 :
Tel line 2 :
Name : GORDON REES

Job number : 427
Date : Jan-29 03:53pm
To : 2#380#17033065995
Document Pages : 004
Start time : Jan-29 03:53pm
End time : Jan-29 03:56pm
Pages sent : 004
Status : OK

COMPLETED

Job number : 427

*** SEND SUCCESSFUL ***

| Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 | | RECORDATION FORM COVER SHEET PATENTS ONLY | | U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office | |
|--|--|--|--|---|--|
| To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof. | | | | | |
| 1. Name of conveying party(ies) Dallas L. Clouatre James M. Dunn Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | 2. Name and address of receiving party(ies) Name: <u>Glycon Technologies Group, LLC</u> Internal Address: _____ Street Address: <u>4560 South Decatur Boulevard,</u> <u>Suite 202</u> City: <u>Las Vegas</u> State: <u>NV</u> Zip: <u>89103</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| 3. Nature of conveyance <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ | | | | | |
| Execution Date: <u>01/14/2004</u> | | | | | |
| 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No (s) <u>10/660,805</u> B. Patent No (s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Daniel E. Clouatre</u> Internal Address: <u>Glycon Technologies Group, LLC</u> <u>c/o Marc E. Hankin, Esq.</u> Street Address: <u>300 South Grand Avenue,</u> <u>Suite 2075</u> City: <u>Los Angeles</u> State: <u>CA</u> Zip: <u>90071</u> | | | 6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>501990</u> | | |
| DO NOT USE THIS SPACE | | | | | |
| 9. Signature: Marc E. Hankin, #38,908 Name of Person Signing <u>Marc E. Hankin</u> Signature 01/29/2004 Date | | | | | |
| Total number of pages including cover sheet, attachments, and documents: <u>4</u> Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231 | | | | | |



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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dallas L. Clouatre
James M. Dunn

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 01/14/2004

2. Name and address of receiving party(ies)

Name: Glycon Technologies Group, LLC

Internal Address: _____

Street Address: 4560 South Decatur Boulevard,
Suite 202

City: Las Vegas State: NV Zip: 89103

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/660,805

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel E. Clouatre

Internal Address: Glycon Technologies Group, LLC
c/o Marc E. Hankin, Esq.

Street Address: 300 South Grand Avenue,
Suite 2075

City: Los Angeles State: CA Zip: 90071

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

501990

DO NOT USE THIS SPACE

9. Signature.

Marc E. Hankin, #38,908

Name of Person Signing

Marc E. Hankin
Signature

01/29/2004

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, Dallas L. Clouatre of Santa Monica, California and James M. Dunn of Littleton, Colorado, Assignors, have invented a new and useful ENTERIC DELIVERY OF (-)-HYDROXYCITRIC ACID, for which an application for United States Letters Patent was executed by them; and

WHEREAS, Assignors believe themselves to be the original, first and sole inventors of the invention disclosed and claimed in the application for Letters Patent filed on September 11, 2003 in the United States Patent and Trademark Office and assigned Serial No. 10/660,805; and

WHEREAS, GLYCON TECHNOLOGIES GROUP, L.L.C., a Nevada corporation, Assignee, desires to acquire by formal, recordable assignment the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States and throughout the world, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will perform all lawful acts, including the execution of papers and the giving of testimony, that might be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for the invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the invention, the application, and any Letters Patent granted for the invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted by this Assignment. Assignors further represent and

warrant that they do not know of any improvements to the invention other than what has been disclosed in the application.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors

Date: 1/14/04

Dallas L. Clouatre
Dallas L. Clouatre

Date: 1-14-04

James M. Dunn
James M. Dunn

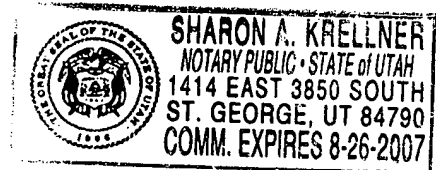
State of Nevada Utah)
) ss.

County of Washington

On January 17, 2004 before me, Sharon A. Krellner,
personally appeared Dallas L. Clouatre personally known to me -OR- proved to me on the basis of
satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized capacity, and that by his signature on
the instrument the person or the entity upon behalf of which the person acted, executed the instrument

WITNESS my hand and official seal.

Sharon A. Krellner
Notary Public



State of Nevada Utah)
) ss.

County of Washington

On January 14, 2004 before me, Sharon A. Krellner,
personally appeared James M. Dunn personally known to me -OR- proved to me on the basis of
satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized capacity, and that by his signature on
the instrument the person or the entity upon behalf of which the person acted, executed the instrument

WITNESS my hand and official seal.

Sharon A. Krellner
Notary Public

